

1-OXIDO-3-PHENYLPHthalAZINIUM: CYCLOADDITIONS AND NOVEL
REARRANGEMENTS ¹

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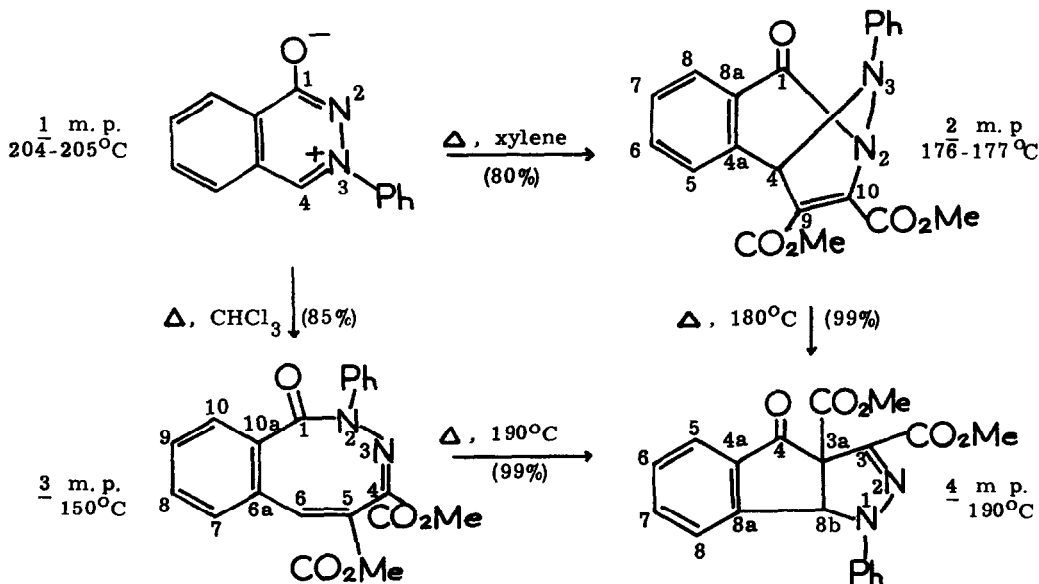
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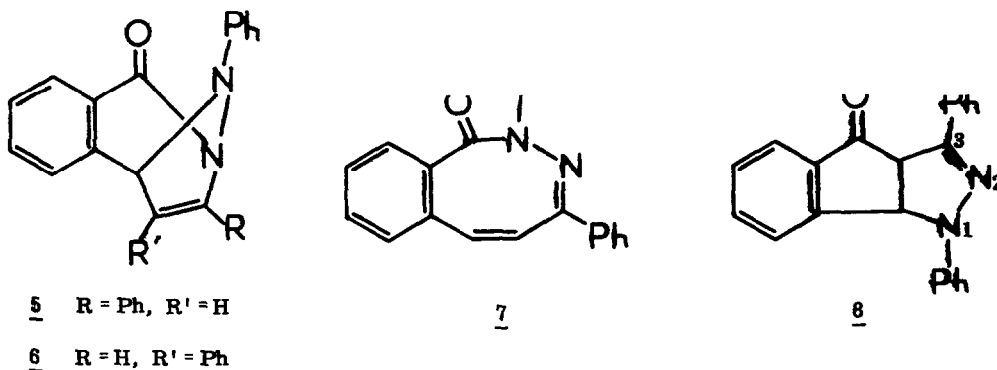
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3-Methyl-1-oxidophthalazinium undergoes 1,3-dipolar addition with acetylenes ² similar to those given by 1-substituted-3-oxidopyridiniums. ³ We have now unravelled the more complex behaviour of 1-oxido-3-phenylphthalazinium (1). Betaine 1 yields normal adducts ⁴ with styrene and diphenylacetylene. However, whereas betaine 1 with dimethyl acetylenedicarboxylate gives the normal adduct 2 by refluxing in xylene, use of chloroform as solvent affords the ring expanded product 3. On heating in the absence of solvent, both 2 and 3 are converted to a third isomer 4, at a temperature of 180°C.



The structures 2, 3 and 4 were elucidated by spectral methods. Adduct 2 shows $\nu\text{C}=\text{O}$ at 1715 cm^{-1} (amide) and 1755 cm^{-1} (unsaturated ester) with the bridgehead proton at $\delta 6.26$. By contrast, adduct 3 discloses unsaturated ester $\nu\text{C}=\text{O}$ at 1730 cm^{-1} together with amide $\nu\text{C}=\text{O}$ at 1650 cm^{-1} , and the 1H singlet has moved downfield to $\delta 8.24$. Adduct 4 disclosed three distinct carbonyl absorptions: saturated ester (1750 cm^{-1}) unsaturated ester (1735 cm^{-1}) and ketone (1705 cm^{-1}), together with the bridgehead proton singlet at $\delta 6.28$.

Reaction of betaine 1 with phenylacetylene gave, in refluxing *o*-dichlorobenzene, the two normal cycloadducts 5 and 6; however, in refluxing xylene, 5 (10%) was produced with the abnormal product 7 (75%). Adduct 5 showed $\nu\text{C}=\text{O}$ at 1710 cm^{-1} with an AB pattern in the NMR spectra at $\delta 6.02$ and 4.74 , J 9 Hz. Adduct 6 disclosed $\nu\text{C}=\text{O}$ 1715, with two 1H singlets at $\delta 6.75$ and 5.70 . The diazocine 7, the structure of which is secured by X-ray analysis (Fig. 1), has the amide $\nu\text{C}=\text{O}$ at 1650 cm^{-1} with an AB pattern one half of which was visible at $\delta 6.60$, J 11 Hz; the other proton originally at *ca.* $\delta 8.0$ became visible with J 11 Hz when $\text{Pr}(\text{fod})_3$ was added to the solution. On sublimation, at 200°C , diazocine 7 gives a second tricyclic derivative 8, characterised by its X-ray analysis (Fig. 2).



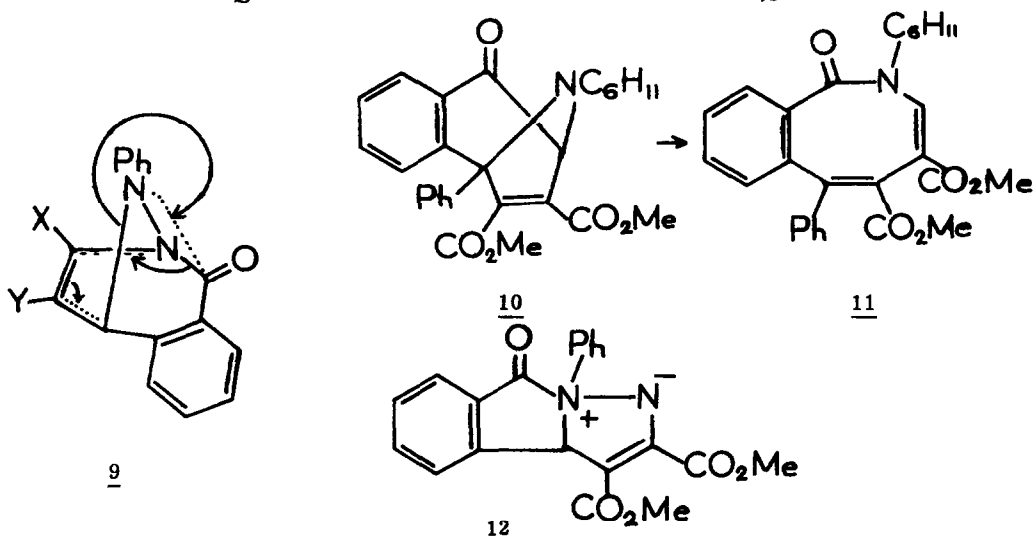
3a, 8b-Dihydro-1, 3-diphenylindeno[1, 2-*c*]pyrazol-4-(1H)-one (8) is monoclinic, space group $P2_1/c$, $Z = 4$; at -40°C , $a = 11.017(3)$, $b = 9.386(2)$, $c = 16.222(2)\text{ \AA}$, $\beta = 107.18(1)^\circ$. The $\text{N}(1)-\text{N}(2)$ and $\text{N}(2)-\text{C}(3)$ bond lengths of 1.373 \AA and 1.304 \AA respectively, are indicative of extensive conjugation in the phenyl- $\text{N}(1)-\text{N}(2)-\text{C}(3)$ -phenyl moiety.

Further confirmatory evidence for our structures is afforded by various transformation products. Thus 4 on catalytic hydrogenation gives a dihydro derivative, whereas 7 forms a tetrahydro compound, both of which show the expected IR and NMR spectral characteristics.⁵

The diazocines 3 and 7 are formally analogues of benzocyclooctatetraene, the X-ray determination shows that the eight-membered ring has the 'tub' conformation⁶ and the $\text{C}(1)-\text{N}(2)$ bond possesses some double bond character owing to conjugation with the carbonyl group. 2, 4-Diphenyl-2, 3-benzodiazocin-1(2H)-one (7) is triclinic, space group

PI, $Z = 2$; at -40°C , $a = 9.753(2)$, $b = 11.116(4)$, $c = 9.202(2)$ Å, $\alpha = 113.12(2)$, $\beta = 103.23(2)$, $\gamma = 102.07(2)^{\circ}$.⁷

The mechanisms of these rearrangements are not yet clear. The formation of isomeric cycloadducts by heating in different solvents may be merely a consequence of the different refluxing temperatures. The diazocines 3 and 7 could be formed from the normal cycloadducts 2 & 5 by electrocyclic reactions of type 9; this would be similar to the mechanism postulated by Padwa *et al.*⁸ for the conversion of adduct 10 into the azocine 11. The formation of the abnormal tricyclic derivatives 4 and 8 could then be explained by 1, 3-acyl shifts in 2 and 5 respectively. However, another possibility is that adducts of type 2, 3 and 4 are interconverted via species 12 which by a 1, 2-shift of the acyl group can yield 2, by electrocyclic ring opening can yield 3, and by 1, 3-shift of the acyl group can give 4.



Rearrangements 2 → 4 and 3 → 4 are indicative of the rich chemistry of conjugated heterocyclic medium rings, an area which we are actively pursuing.

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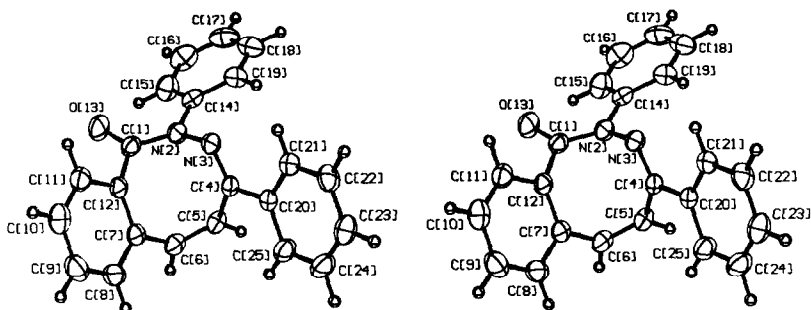


FIGURE 1. Stereodrawing of a molecule of 2,4-diphenyl-2,3-benzodiazocin-1(2H)-one, compound 7, with the atom-numbering scheme.

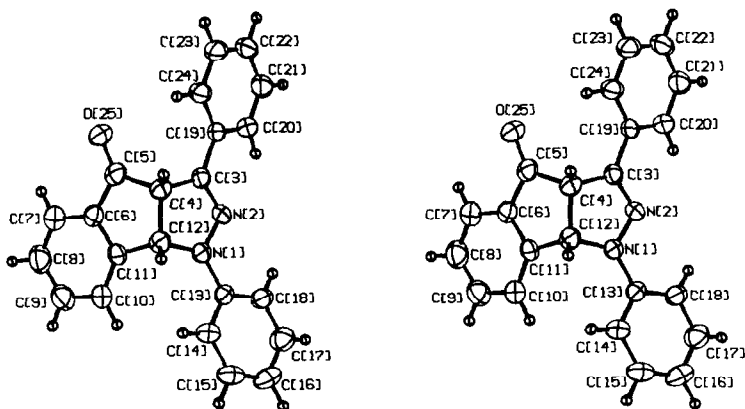


FIGURE 2. Stereodrawing of a molecule of 3a,8b-dihydro-1,3-diphenylindeno[1,2-c]-pyrazol-4(1H)-one, compound 8, with the atom-numbering scheme.